

1. An acknowledgement that the institution signed and returned to the Department the Certification and Agreement and the assurance that the institution has used the applicable amount of funds designated under the CRRSAA and ARP (a)(1) and (a)(4) programs to provide Emergency Financial Aid Grants to Students.

Advance Beauty College acknowledges that the institution signed and returned to the Department the Certification and Agreement and the assurance that the institution has used the applicable amount of funds designated under the CRRSA and ARP (a)(1) and (a)(4) programs to provide Emergency Financial Aid Grants to students.

2. The total amount of funds that the institution will receive or has received from the Department pursuant to the institution's Certification and Agreement for Emergency Financial Aid Grants to Students under the CRRSAA and ARP (a)(1) and (a)(4) programs.

CRRSAA award amount=\$435,299

ARP (a)(1) and (a)(4) award amount=\$260,305

Cumulative award amount=\$695,604

3. The total amount of Emergency Financial Aid Grants distributed to students under the CRRSAA and ARP (a)(1) and (a)(4) programs as of the date of submission (i.e., as of the initial report and every calendar quarter thereafter).

2021 Quarter 1=\$340,322.50

2021 Quarter 2=\$21,889.06

2021 Quarter 3=\$11,633.42

2021 Quarter 4=\$252,252

4. The estimated total number of students at the institution that are eligible to receive Emergency Financial Aid Grants to Students under the CRRSAA and ARP (a)(1) and (2)(4) programs.

CRRSAA=522 estimated students

ARP=243 estimated students

5. The total number of students who have received an Emergency Financial Aid Grant to students under the CRRSAA and ARP (a)(1) and (a)(4) programs.

606 students received CRRSAA and ARP (a)(1) and (a)(4) programs

6. The methods(s) used by the institution to determine which students receive Emergency Financial Aid Grants and how much they would receive under the CRRSAA and ARP (a)(1) and (a)(4) programs.

CRRSAA grant rationale: All active students between 3/3/21 to 4/23/21 including students on LOA qualify for the grant.

ARP (a)(1) and (a)(4): All active students as of 9/31/21 were surveyed to assess financial needs.

7. Any instructions, directions, or guidance provided by the institution to students concerning the Emergency Financial Aid Grants.

See attached letter to students.

**Coronavirus Response and Relief Supplemental Appropriations Act, 2021  
Certification and Agreement (CFDA 84.425Q) ((a)(4) Program)**

**PROPRIETARY INSTITUTION GRANT FUNDS FOR STUDENTS**

The terms, conditions, and requirements governing your institution's (Recipient's) use of these grant funds awarded pursuant to section 314(a)(4) of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) (Pub. L. 116-260) (award or grant) by the U.S. Department of Education (Department) are governed by section 314 of the CRRSAA and the following terms and conditions of this Certification and Agreement (C&A):

**Use of Grant Funds:**

1. Section 314(d)(7) of the CRRSAA requires Recipient, as an institution of higher education as defined in section 102(b) of the Higher Education Act of 1965, as amended (HEA), 20 USC § 1002(b), to use the funds received only for activities described in section 314(c)(3) of the CRRSAA.
2. Under section 314(c)(3) of the CRRSAA, Recipient must make financial aid grants to students (including students exclusively enrolled in distance education), which may be used for any component of the student's cost of attendance or for emergency costs that arise due to coronavirus, such as tuition, food, housing, health care (including mental health care) or child care.
3. Recipient acknowledges that it retains discretion to determine the amount and availability of each individual financial aid grant consistent with all applicable laws, including non-discrimination laws. Recipient further acknowledges that under section 314(c)(3), it must prioritize grants to students with exceptional need, such as students who receive Pell Grants. However, students do not need to be Pell recipients or students who are eligible for Pell grants in order to receive a financial aid grant.
4. Recipient acknowledges that it may not condition the receipt of such a financial aid grant on continued or future enrollment with the Recipient. Recipient also acknowledges that it may not require a student to consent to the application of the financial aid grant to the student's outstanding account balance with Recipient as a condition of receipt of or eligibility for the financial aid grant.
5. In consideration for this award, Recipient agrees that Recipient holds these grant funds in trust for students and acts in the nature of a fiduciary for students.
6. Recipient acknowledges that the Secretary recommends (a) the maximum Federal Pell Grant for the applicable award year as an appropriate maximum amount for a student's financial aid grant in most cases, and (b) that the Recipient should consider each student's particular socioeconomic circumstances in the administration of these grants.

7. The Secretary strongly encourages Recipient's financial aid administrator to exercise the use of professional judgment available under HEA section 479A, 20 USC § 1087tt, to make adjustments on a case-by-case basis to exclude individual financial aid grants from the calculation of a student's expected family contribution. The Secretary does not consider these individual financial aid grants to constitute Federal financial aid under Title IV of the HEA.
8. Recipient must notify the Department within 30 days of making a determination that it is required to remit payment to the Internal Revenue Service for the excise tax paid on investment income of private colleges and universities under section 4968 of the Internal Revenue Code of 1986 for tax year 2019 via the Required Notification of Endowment Excise Tax Paid form, pursuant to section 314(d)(6) of the CRRSAA. Recipient acknowledges that if it was required to remit payment to the Internal Revenue Service for this excise tax paid, and if it is not an institution that has been designated as an eligible work college under HEA section 448, 20 USC § 1087-58:
  - a. Recipient must not draw down more than 50% of its total allocation received under CRRSAA section 314, unless a waiver of this condition has requested by Recipient and until approved by the Secretary under CRRSAA section 314(d)(6)(B).
  - b. Under sections 314(d)(6) and 314(d)(7) of the CRRSAA, Recipient must use its remaining available funds only for financial aid grants to students consistent with CRRSAA section 314(c)(3).

**Grant Administration:**

9. Recipient acknowledges that consistent with 2 CFR § 200.305, it must minimize the time between drawing down funds from G5 and paying incurred obligations (liquidation). Recipient further acknowledges that if it draws down funds and does not pay the incurred obligations (liquidates) within 15 calendar days it may be subject to heightened scrutiny by the Department, Recipient's auditors, and/or the Department's Office of the Inspector General (OIG). Recipient further acknowledges that returning funds pursuant to mistakes in drawing down excessive grant funds in advance of need may also be subject to heightened scrutiny by the Department, Recipient's auditors, and/or the Department's OIG. Finally, Recipient acknowledges that it must maintain drawn down grant funds in an interest-bearing account, and any interest earned on all Federal grant funds above \$500 (all Federal grants together) during an institution's fiscal year must be returned (remitted) to the Federal government via a process described here:  
<https://www2.ed.gov/documents/funding-101/g5-returning-interest.pdf>.
10. Recipient may not charge any indirect or administrative costs to funds made available under this award because the allocation in this grant award represents an amount of funds that must be distributed to students.

11. Recipient acknowledges that any obligation under this grant (pre-award costs pursuant to 2 CFR § 200.458) must have been incurred on or after December 27, 2020, the date of the enactment of the CRRSAA.
12. Recipient must promptly and to the greatest extent practicable distribute all grant funds from this award in the form of financial aid grants to students within the one-year period of performance (2 CFR § 200.77) specified in Box 6 of this Grant Award Notification (GAN).
13. Recipient must, to the greatest extent practicable, continue to pay its employees and contractors during the period of any disruptions or closures related to coronavirus pursuant to section 315 of the CRRSAA.

**Reporting and Accountability:**

14. Recipient must promptly and timely report to the Department on the use of funds no later than 6 months after the date of this award in a manner to be specified by the Secretary pursuant to section 314(e) of the CRRSAA. Recipient must also promptly and timely provide a detailed accounting of the use of funds provided by this award in such manner and with such subsequent frequency as the Secretary may require. Recipient will comply with any other applicable reporting requirements including those in Section 15011(b)(2) of Division B of the CARES Act. Recipient acknowledges the Department may require additional or more frequent reporting to be specified by the Secretary.
15. Recipient must have a compliance audit conducted of its administration of the HEERF grant for any institutional fiscal year during which Recipient expended \$500,000 or more in total HEERF grant funds, whether under section 18004(a)(1) of the CARES Act or section 314(a)(4) of the CRRSAA, or was on Federal Student Aid's Heightened Cash Monitoring (HCM) 1 or 2 list during any point of the institution's fiscal year in which it expended any HEERF grant funds (<https://studentaid.gov/data-center/school/hcm>). The HEERF compliance audit must be conducted in accordance with Government Auditing Standards, issued by the Comptroller General of the United States, and the applicable audit guide developed by the Department's Office of Inspector General. To the extent practicable, the annual Title IV audit may be used to cover certain areas of the HEERF audit if separately auditing those areas would be duplicative. The Department will communicate with grantees on timing and audit submission procedures.
16. Recipient acknowledges it is under a continuing affirmative duty to inform the Department if Recipient is to close or terminate operations as an institution or merge with another institution. In such cases, Recipient must promptly notify in writing the assigned education program officer contact in Box 3 of the GAN. Additionally, Recipient must promptly notify the assigned education program officer if the Recipient's Authorized Representative changes.
17. Recipient must cooperate with any examination of records with respect to the advanced funds by making records and authorized individuals available when requested, whether

by (i) the Department and/or its OIG; or (ii) any other Federal agency, commission, or department in the lawful exercise of its jurisdiction and authority. Recipient must retain all financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award for a period of three years from the date of submission of the final expenditure report pursuant to 2 CFR § 200.334.

18. Recipient acknowledges that failure to comply with this Certification and Agreement, its terms and conditions, and/or all relevant provisions and requirements of the CRRSAA or any other applicable law may result in Recipient's liability under the False Claims Act, 31 USC § 3729, et seq.; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; 18 USC § 1001, as appropriate; and all of the laws and regulations referenced in the "Applicable Law" section of this Certification and Agreement, below.

**Applicable Law:**

19. Recipient must comply with all applicable assurances in OMB Standard Forms (SF) SF-424B and SF-424D (Assurances for Non-Construction and Assurances for Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; nondiscrimination; Hatch Act provisions; labor standards; and the general agreement to comply with all applicable Federal laws, executive orders, and regulations.
20. Recipient certifies that with respect to the certification regarding lobbying in Department Form 80-0013, no Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making or supplementing of Federal grants under this program; Recipient must complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," when required (34 CFR part 82, Appendix B).
21. Recipient must comply with the provisions of all applicable acts, regulations and assurances; the following provisions of *Education Department General Administrative Regulations* (EDGAR) 34 CFR parts 75, 77, 81, 82, 84, 86, 97, 98, and 99; the *OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)* in 2 CFR part 180, as adopted and amended as regulations of the Department in 2 CFR part 3485; and Subparts A through E of the *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* in 2 CFR part 200, as adopted and amended as regulations of the Department in 2 CFR part 3474.



<b>Institution Name:</b> <u>Advance Beauty College</u>
<b>Authorized Representative (typed name):</b> <u>Christine Nguyen</u>
<b>Authorized Representative Title:</b> <u>Director of Finance</u>
<b>DUNS Number:</b> <u>096750109</u>
<b>OPE ID:</b> <u>03736300</u>
<b>Date:</b> <u>01/26/2021</u>

**Paperwork Burden Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0852. Public reporting burden for this collection of information is estimated to average 5 hours per response, including time for reviewing instructions, searching existing data sources, gathering, and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Section 314(a)(4) of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (Pub. L. 116-260)). If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application or survey, please contact Karen Epps, 400 Maryland Avenue, SW. Washington, D.C. 20202 directly.

OMB Number: 1840-0852  
Expiration Date: 7/31/2021

## Required Proprietary Institution Certification Form

### Higher Education Emergency Relief Fund (HEERF) III American Rescue Plan Act of 2021 (ARP)

**Note:** To receive funding under the ARP (a)(4) program, all proprietary institutions must complete and submit this form to assist with management and oversight.

**Directions:** Proprietary institution presidents or chief executive officers (CEOs) must complete the first certification and acknowledgement, and all owners that have at least a 25% interest in the institution must complete the second certification and acknowledgement. If no owners have at least a 25% interest in the institution, the institution president or CEO must certify to that effect.

Proprietary institutions that received an (a)(4) award under the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) must email this completed form to [HEERFARP4@ed.gov](mailto:HEERFARP4@ed.gov). Proprietary institutions that are applying for an ARP (a)(4) award for the first time must submit this document along with other required documents through the Grants.gov application process.

If the institution believes there is proprietary business information contained within this submission, it must indicate the specific information the institution considers proprietary in a cover attachment to this form.


**Section 1: The institution's president or CEO must provide the following certification and acknowledgement:**

To the best of my knowledge and belief, I certify that (1) all information in this certification is true and correct, (2) all HEERF grant funds made available pursuant to the ARP (a)(4) ALN 84.425Q Supplemental Agreement (if receiving an (a)(4) supplemental grant award) or Certification and Agreement (if receiving a new (a)(4) grant award) will be distributed to students in accordance with the American Rescue Plan Act of 2021 (P.L. 117-2), the applicable laws, regulations, and terms and conditions described in my institution's Supplemental Agreement or Certification and Agreement, and (3) my institution, on behalf of which the supplemental or new grant award was made, will remain in compliance with the terms and conditions of this grant described in the Supplemental Agreement or Certification and Agreement.

I acknowledge that failure to submit true and correct information, failure to distribute HEERF grant funds in accordance with the provisions described in the paragraph above, or failure to remain in compliance with the terms and conditions of this grant may result in liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.*; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 C.F.R. part 180, as adopted and amended as regulations of the Department in 2 C.F.R. part 3485; and 18 U.S.C. § 1001, as appropriate, and/or other enforcement actions.



I acknowledge that any person who knowingly provides false or misleading information in this certification may be subject to a \$250,000 fine per individual, a \$500,000 fine per organization, and/or imprisonment up to five years under the provisions of 18 U.S.C. § 1001.

Name: Tam Nguyen  
 President or CEO Signature:   
 Phone: 714-340-9312  
 Email: tam@advancebeautycollege.com  
 Date: 7/27/21  
 DUNS Number: 096750109  
 OPE ID: 03736300

**Section 2: Each owner that has at least a 25% interest in the institution, either directly or through their ownership interest in any entity (or entities) in the ownership chain, must provide the following certification and acknowledgement:**

To the best of my knowledge and belief, I certify that (1) all information in this certification is true and correct, (2) all HEERF grant funds made available pursuant to the ARP (a)(4) ALN 84.425Q Supplemental Agreement (if receiving an (a)(4) supplemental grant award) or Certification and Agreement (if receiving a new (a)(4) grant award) will be distributed to students in accordance with the American Rescue Plan Act of 2021 (P.L. 117-2), the applicable laws, regulations, and terms and conditions described in my institution's Supplemental Agreement or Certification and Agreement, and (3) my institution, on behalf of which the supplemental or new grant award was made, will remain in compliance with the terms and conditions of this grant described in the Supplemental Agreement or Certification and Agreement.

I acknowledge that failure to submit true and correct information, failure to distribute HEERF grant funds in accordance with the provisions described in the paragraph above, or failure to remain in compliance with the terms and conditions of this grant may result in liability under the False Claims Act, 31 U.S.C. § 3729, *et seq.*; OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement) in 2 C.F.R. part 180, as adopted and amended as regulations of the Department in 2 C.F.R. part 3485; and 18 U.S.C. § 1001, as appropriate, and/or other enforcement actions.

I acknowledge that any person who knowingly provides false or misleading information in this certification may be subject to a \$250,000 fine per individual, a \$500,000 fine per organization, and/or imprisonment up to five years under the provisions of 18 U.S.C. § 1001.

**First Owner:**

Indicate percentage owned: 50%

Print name of entity or individual: Tam Nguyen

If entity, name and title of entity's authorized representative:  
\_\_\_\_\_

Signature of owner (individual or authorized representative):



Entity or individual's address: 

Entity Tax ID (if not an individual): \_\_\_\_\_

Phone: 714-390-9312

Email: tam@advancebeautycollege.com

Date: 7/27/21

**Second Owner:**


Indicate percentage owned: 50%

Print name of entity or individual: Linh Nguyen

If entity, name and title of entity's authorized representative:  
\_\_\_\_\_

Signature of owner (individual or authorized representative):



Entity or individual's address: 

Entity Tax ID (if not an individual): \_\_\_\_\_

Phone: 714-514-4415

Email: linh@advancebeautycollege.com

Date: 7/27/21

**Third Owner:**

Indicate percentage owned: \_\_\_\_\_

Print name of entity or individual: \_\_\_\_\_

If entity, name and title of entity's authorized representative:  
\_\_\_\_\_

Signature of owner (individual or authorized representative):

\_\_\_\_\_

Entity or individual's address: \_\_\_\_\_

Entity Tax ID (if not an individual): \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

Date: \_\_\_\_\_

**Fourth Owner:**

Indicate percentage owned: \_\_\_\_\_

Print name of entity or individual: \_\_\_\_\_

If entity, name and title of entity's authorized representative:

\_\_\_\_\_

Signature of owner (individual or authorized representative):

\_\_\_\_\_

Entity or individual's address: \_\_\_\_\_

Entity Tax ID (if not an individual): \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

Date: \_\_\_\_\_

**If no owners have at least a 25% interest in the institution, the President or CEO must certify by checking the box and signing below:**

I hereby certify that there are no owners of this institution that have at least a 25% interest in the institution.

Name: \_\_\_\_\_

President or CEO Signature: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

Date: \_\_\_\_\_

**Submission of Proprietary Information**

FOIA exempts from mandatory disclosure any “trade secrets or commercial or financial information obtained from a person and privileged or confidential.” 5 U.S.C. 552(b)(4) (Exemption 4). In accordance with Exemption 4, the Department will maintain as confidential any documents submitted by you, or prepared by the institution, that are both customarily and actually treated as private by the institution, or closely held and not publicly disseminated. If you feel that some or all of this submission falls within the scope of Exemption 4 and is entitled to confidential treatment, you must indicate the specific information the institution considers proprietary in a cover attachment to this form. Please note that your designations of exempt material are not binding on the Department.

**Paperwork Burden Statement**

According to the Paperwork Reduction Act of 1995 (PRA), no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1840-0855. Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain a benefit (ARP (Pub. L. 117-2)). If you have any comments concerning the accuracy of the time estimate or suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application, or survey, please contact Karen Epps, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, DC 20202.



Inspire • Educate • Give Back

October 11, 2021

Dear ABC Student:

It is with great pleasure that Advance Beauty College would like to inform you of grant funds available through ARP: American Rescue Plan (HEERF III). The U.S. Department of Education has made available this emergency relief fund to provide aid to our students whose lives have been disrupted by the COVID-19 pandemic.

Grant funds are distributed based on the student's financial needs and if they were prior recipients of the HEERF I and HEERF II grants. This award will not affect your financial aid package and does not need to be returned. We know these are trying times and this pandemic has impacted us all in many ways and hope that these funds will ease your financial burden slightly. We look forward to continuing serving you as you pursue your educational training at Advance Beauty College!

Advanced Beauty College is pleased to assist with any unforeseen hardship you may be experiencing. Stay healthy and safe!

Sincerely,  
*Advance Beauty College*

Higher Education Emergency Relief Fund II Disbursements from 07-01-2021 through 09-30-2021

ADVANCE BEAUTY COLLEGE, INC. - 00280001

Key	Name	Date	ToSchool	ToStudent
13390		08/16/2021		1,071.50
13733		08/16/2021		1,071.50
13714		08/16/2021		1,071.50
13574		09/10/2021		1,071.50
13305		08/16/2021		1,071.50
13840		08/16/2021		306.14
13157		08/16/2021		306.14
13752		07/30/2021		1,071.50
13219		07/30/2021		1,071.50
12976		07/30/2021		1,071.50
13457		08/16/2021		1,071.50
13544		08/16/2021		1,071.50
13126		08/16/2021		306.14
<b>Totals</b>	<b>13 Students / 13 Disbursements</b>		<b>0</b>	<b>11633.42</b>